

FIF Cost Basis Roundtable - Registered Attendees

First Name	Last Name	Title	Firm Name	Registration Type
John	Torres	Senior Vice President	American Stock Transfer & Trust	Dial-in: NonMember
Melissa	Bruner	Vice President	Bank of America Merrill Lynch	Dial-in: Member
Walter	Johnson	Vice President	Bank of America Merrill Lynch	Dial-in: Member
Carmela	Lawrence	First Vice President	Bank of America Merrill Lynch	Dial-in: Member
Audrey	Meyer	Vice President Tax Operations	Bank of America Merrill Lynch	Dial-in: Member
Thomas	Nadratowski	Assistant Vice President - Cost Basis Project Manager	Bank of America Merrill Lynch	Dial-in: Member
Carlos	Oliviera	Vice President	Bank of America Merrill Lynch	Dial-in: Member
Vivian	Herrera	Vice President	Bank of New York Mellon	InPerson: Non Member
Andrew	Schwartz	Vice President	Bank of New York Mellon	InPerson: Non Member
Kris	Sundar	Vice President	Barclays Capital	Dial-in: NonMember
Aniuska	Mohan	Assistant Vice President	BNP Paribas	InPerson: Member
Anthony	De Maio	Product Management - Tax Lot Engine	Broadridge	InPerson: Member
Susan	Eiken	Director	Broadridge	InPerson: Member
Robert	Linville	Senior Product Consultant	Broadridge SIS	Dial-in: Member
Scott	Kashkin	VP	Brown Brothers	InPerson: Non Member
Orinne	McCloskey	Vice President, Global Tax Services	Brown Brothers Harriman & Co.	Dial-in: NonMember
Cira	Neira	Senior Vice President	Brown Brothers Harriman & Co.	Dial-in: NonMember
Barbara	Rufle	Operations Project Management	Brown Brothers Harriman & Co.	Dial-in: NonMember
Brian	Godfrey	Vice President - Cost Basis Operations	Charles Schwab	InPerson: Member
Tonya	Belfield	Division Manager	Clearview Correspondent Services	Dial-in: NonMember
Jerri	Langer	Member / Owner	Cokala	Dial-in: NonMember
Lisa	Baker	Business Analyst	Comprehensive Software Systems	Dial-in: Member
Martin	Bentsen	Chief Operating Officer	Computer Research, Inc	InPerson: Member
Donna	Fry	Business & Product Analyst	Computer Research, Inc	Dial-in: Member
Amy	Aho	Director	Credit Suisse	InPerson: Member
Irina	Dolgonos	Vice President	Credit Suisse	InPerson: Member
Neal	Givner	Director	Credit Suisse	InPerson: Member
Robert	Lombardi	Vice President - Tax Department	Credit Suisse	InPerson: Member
Barbara	Trivedi	Shareholder Services Manager	Crescent Banking Company	Dial-in: NonMember
John	Binder	Vice President	Deutsche Bank	InPerson: Member
Brian	Polchinski	Director	Deutsche Bank	InPerson: Member
John	Acquafredda	Product Manager	E*Trade Financial	InPerson: Member
Kenneth	Sherman	Director	E*Trade Financial	InPerson: Member
Jerry	Deters	Operations Manager	Edward Jones	Dial-in: NonMember
Carlos	Cartaya	VP - Tax services	Fidelity Investments	InPerson: Member
William	Connell	Technology Product Management	Fidelity Investments	Dial-in: Member
Manisha	Kimmel	Executive Director	Financial Information Forum	InPerson: Member

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Leo	McBlain	Chairman	Financial Information Forum	InPerson: Member
Arsalan	Shahid	Manager	Financial Information Forum	InPerson: Member
Stevie	Conlon	Tax Director	GainsKeeper	InPerson: Member
Gail	Pierce	Senior Marketing Manager	GainsKeeper	Dial-in: Member
Jim	Richardson	Product Manager	GainsKeeper	InPerson: Member
Charles	Ross	General Manager	GainsKeeper	InPerson: Member
Stuart	Tarmy	Vice President	GainsKeeper	InPerson: Member
Rasheed	Khan	Vice President	Goldman Sachs	Dial-in: NonMember
Farley	Friedman	Vice President	Goldman Sachs Execution & Clearing	Dial-in: Member
Suzy	Kelly	Vice President	Goldman Sachs Execution & Clearing	InPerson: Member
Charles	Price	Senior Director, Entity Data Products	Interactive Pricing & Reference Data	InPerson: Member
Dennis	Joseph	Officer - Regulatory Reporting	Invesco Aim Investment Services	Dial-in: NonMember
Mark	Gress	Vice President Operations	Janney Montgomery Scott	Dial-in: NonMember
Uri	Benjamin	Manager of Tax Reporting/Cost Basis	Janney Montgomery Scott LLC	Dial-in: NonMember
Gregory	King	Manager - Client Tax Reporting	JBH Hilliard WL Lyons	Dial-in: NonMember
Tom	Jordan	Chief Executive Officer	Jordan & Jordan	InPerson: Member
Mary Lou	Von Kaenel	Director	Jordan & Jordan	InPerson: Member
Norm	Allen	Vice President	JPMorgan Chase	Dial-in: Member
Jon	Lakritz	Vice President	JPMorgan Chase	InPerson: Member
Wendy	Wong		JPMorgan Chase	Dial-in: Member
Carrie	Dover	Assistant Vice President - Tax Reporting-Cost Basis	LPL Financial	Dial-in: NonMember
Ted	Gehrig	Engineering Solutions Director	Nexa Technologies	Dial-in: Member
Melissa	Clappsy	Vice President Product Management and Development	Pershing	InPerson: Member
Kevin	McCosker	Director	Pershing	Dial-in: Member
Mindy	Zamparelli	Vice President	Pershing	InPerson: Member
David	Merriam	Operations Manager	Raymond James	InPerson: Member
Greg	Alves	Vice President Strategic Products	Sciventage	InPerson: Member
Martin	Balaam	Dir Sales	Sciventage	InPerson: Member
Steven	Conrad	Product Manager	Sciventage	InPerson: Member
Michael	Forbes	SVP & General Manager, Financial Guidance	Sciventage	InPerson: Member
David	Lindenmann	Director	Sciventage	InPerson: Member
Bob	Mcalonie	Director of Sales	Sciventage	InPerson: Member
Jorge	Reyes	Pre Sales Director	Sciventage	InPerson: Member
Cameron	Routh	SVP Strategic Products	Sciventage	InPerson: Member
Susan	Davis	Business Analysis Manager	Scottrade	Dial-in: Member
Art	Grieshaber	Tax Reporting Manager	Scottrade	InPerson: Member
Martin	Knese	Senior Business Analyst	Scottrade	Dial-in: Member
Brad	McCaffrey	Senior Tax Reporting Specialist	Scottrade, Inc	InPerson: Member

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Fred	Bousquet	Vice President	State Street Bank & Trust Company	Dial-in: NonMember
Stephen	Vescio	Vice President	State Street Bank & Trust Company	Dial-in: NonMember
Mary	Patton	Supervisor / Assistant Vice President	Stifel, Nicolaus & Company, Incorporated	Dial-in: NonMember
Susan	Crozier	Product Manager	SunGard Brokerage and Clearance Solutions	InPerson: Member
Catherine	Gregor	Product Manager	SunGard Brokerage and Clearance Solutions	InPerson: Member
Jodi	Baskin	Product Management	SunGard WealthStation Cost Basis Engine	Dial-in: Member
Margaret	Gavlik	Chief Administrative Office	Surge Trading	Dial-in: Member
Becky	Groves	Senior Manager Government Reporting	TD Ameritrade	Dial-in: Member
Randy	Barnes	Product Manager	Thomson BETA	InPerson: Member
Johnny	Huh	Business Development Director	Thomson Reuters	InPerson: Member
Susan	Carragher	Executive Director - Client Reporting	UBS	Dial-in: Member
Vivek	Dangre	Associate Director, Tax Operations	UBS	InPerson: Member
John	Peterson	Cost Basis Project Manager	UBS	InPerson: Member
Regan	Rayhab	Associate Director - Client Reporting	UBS	Dial-in: Member
Evan	Riley		UBS	Dial-in: Member
William	Rodriguez	Director, Tax Operations	UBS	InPerson: Member
Timothy	Wald	Director - Client Reporting	UBS	InPerson: Member
Maria	Zapata	Lead Cost Basis Analyst	USAA	Dial-in: Member